

06/20/03

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Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	911560/00003DIV
First Inventor	James G. Tribble
Title	Metal/Wood Bat Connection Assembly
Express Mail Label No.	EU542810643US

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria VA 22313-1450

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 17]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 5]

5. Oath or Declaration [Total Sheets 2]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Reader Form (CRF)
- b.  Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  Paper
- c.  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of  
(when there is an assignee) Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure  Copies of IDS  
Statement (IDS)/PTO-1499 Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent

17.  Other: 4 Sheets Formal Drawings  
(Figures 1-6)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Divisional

Continuation-in-part (CIP)

of prior application No. 10/053,793

Prior application information:

Examiner Mark S. Graham

Art Unit: 3711

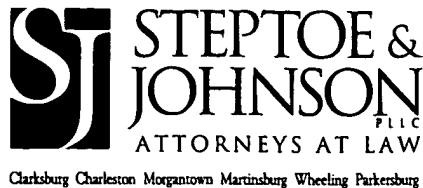
For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer Number or Bar Code Label here)			<input type="checkbox"/> OR	<input type="checkbox"/> Correspondence address below
Name	Megan D. Dortenzo, Esquire U.S. Patent and Trademark Office				
Address	Steptoe & Johnson PLLC P.O. Box 2190				
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Country	US	Telephone	304-624-8128	Fax	304-624-8183
Name (Print/Type)	Megan D. Dortenzo	Registration No. (Attorney/Agent)	39,172		
Signature				Date	06/20/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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June 20, 2003

***Mail Stop Patent Application***  
**"Express Mail" Mailing Label No.: EU542810643US**  
**Date of Deposit: June 20, 2003**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: New U.S. Non-Provisional Utility Patent Application  
Appl. No. (to be assigned); Filed: (Herewith)  
For: **Metal/Wood Bat Connection Assembly**  
Inventor: James G. Tribble  
Our Ref: 911560/00003DIV

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a continuing divisional application of Application No. 10/053,793, filed January 18, 2002 (status: pending), consisting of the following documents:

1. Utility Patent Application Transmittal (Form PTO/SB/05);
2. A true copy of the latest inventor-signed U.S. Patent Application No. 10/053,793, filed January 18, 2002, (status: pending) entitled: **Metal/Wood Bat Connection Assembly**; naming James G. Tribble, inventor;

the application comprising:

- a. The specification containing:
  - (i) 9 pages of description prior to the claims;
  - (ii) 7 pages of claims (claims 1- 40);
  - (iii) a one (1) page abstract; and
- b. 5 sheets of informal drawings: (Figure 1-6);

3. A Preliminary Amendment;
4. PTO 1082 (in duplicate);



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5. Letter to PTO Draftsman: Submission of Formal Drawings;
6. Four (4) sheets of Formal Drawings (Figures 1-6);
7. A copy of a facsimile of an originally executed, combined Declaration and Power of Attorney;
8. Information Disclosure Statement;
9. PTO-1449 (with 13 references);
10. Non-publication request;
11. One (1) return post card; and
12. Our Check No. 7-022799 for \$402.00 to cover filing fee and additional claim fee (small entity status).

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-4295. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STEPTOE & JOHNSON PLLC



Megan D. Dertzenzo  
Attorney for Applicant  
Registration No. 39,172

MDD/tlb  
enclosures

911560/00003Div

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

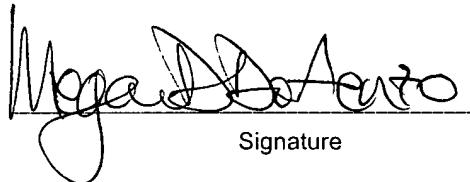
First Named Inventor	James G. Tribble
Title	Metal/Wood Bat Connection Assembly
Atty Docket Number	911560/00003DIV

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 20, 2007

Date



Signature

Megan D. Dortenzo, Esquire

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

STEPTOE & JOHNSON  
 Sixth Floor, Bank One Center  
 Clarksburg, WV 26302-2190

Attorney Docket No. 911560/00003DIV

ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of  
 Inventor: James G. Tribble  
 For: **Metal/Wood Bat Connection Assembly**  
 which is a divisional application of Application No. 10/053,793 filed January 18, 2002.

Enclosed are:

4 sheets of formal drawings.  
 Applicant claims small entity status under 37 CFR 1.9 and 37 CFR 1.27.  
 A copy of a facsimile of an originally executed, Combined Declaration and Power of Attorney for Patent Application.  
 Information Disclosure Statement.  
 Form PTO-1449(w13 references)

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
FOR	NO. FILED	NO. EXTRA	RATE	RATE
BASIC FEE			\$375.00	750.00
TOTAL CLAIMS	23 - 20	3	x 9 = 27.00	x 18 =
INDEP. CLAIMS	2 - 3	0	x 42 =	x 84 =
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 140 =	+ 280 =
			TOTAL \$402.00	TOTAL

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

       Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.

A check No. 7-022799 in the amount of \$402.00 to cover the filing fee, and any additional claim fee is enclosed.

The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-4295. A duplicate copy of this sheet is attached.

Any additional filing fees required under 37 CFR 1.16.  
 Any patent application processing fees under 37 CFR 1.17.

The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 19-4295. A duplicate of this sheet is attached.

Any patent application processing fees under 37 CFR 1.17  
 The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
 Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

  
 Megan D. Dortenzo June 20, 2003  
 (Date)  
 Registration No. 39,172

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

06/24/2003 STEUMEL1 00000001 10600254

01 FC:2001 375.00 OP  
02 FC:2202 27.00 OP

Void date: 06/24/2003 STEUMEL1

06/24/2003 STEUMEL1 00000001 10600254  
01 FC:2001 -375.00 OP  
02 FC:2202 -27.00 OP

06/24/2003 STEUMEL1 00000002 10600254

01 FC:2001 375.00 OP  
02 FC:2202 27.00 OP

PTO-1556  
(5/87)